



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

Third Quarter Fiscal Year 2016-17
(January, February, March)

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Barbara Palmer
Director

Rick Scott
Governor

The Agency for Persons with Disabilities (APD) administers Medicaid waivers providing supports to almost 33,900 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to over 20,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome, Prader-Willi syndrome, Phelan-McDermid syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of January through March 2017, over 1,100 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and over 11,000 Medicaid eligible individuals received some state services through the Medicaid State Plan. Over 9,500 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

Historical Overview

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

Glossary of Terms Used in Report

APD - Agency for Persons with Disabilities

CDC+ Program - Consumer-Directed Care Plus Program

iBudget Waiver - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

IFS - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments *

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Jan-17	2,780	\$7,640,152	30,862	\$78,082,813	33,642	\$85,722,965.27
Feb-17	2,792	\$7,674,921	30,945	\$84,950,920	33,737	\$92,625,841.35
Mar-17	2,811	\$7,778,191	31,018	\$102,092,680	33,829	\$109,870,871.43

* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claims payments simultaneously under multiple waivers.

**As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of May 1, 2017.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Jan-17	2,753	30,658	454	345	31,431
Feb-17	2,778	31,540	464	438	31,978
Mar-17	2,798	31,956	539	437	32,299

*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of May 1, 2017.

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jan-17	33,642	19,700	58.56%
Feb-17	33,737	18,829	55.81%
Mar-17	33,829	17,224	50.91%

Note: Enrolled as of the first day of the month in which the services were received.
Source: ABC Database and Medicaid HP Data Warehouse as of May 1, 2017.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services by Month of Service Payment

Service Description	iBudget		
	Jan-17	Feb-17	Mar-17
Adult Dental Services	638	1,007	1,225
Behavior Analysis - Level 1	1,000	1,003	1,031
Behavior Analysis - Level 2	692	739	773
Behavior Analysis - Level 3	1,852	1,869	1,992
Behavior Analysis Assessment	48	45	57
Behavior Assistant Services	212	194	190
CDC Monthly Allowance	2,754	2,779	2,774
Consumable Medical Supplies	3,996	4,364	4,674
Dietitian Services	60	53	55
Durable Medical Equipment	52	46	61
Environmental Accessibility Adaptations	21	22	24
Environmental Accessibility Adaptations -- Assessment	0	0	0
Incontinence Supplies; All Types	5,668	6,370	6,703
Life Skills Development - Level 1 (Companion)	3,414	3,427	3,668
Life Skills Development - Level 2 (Supported Empl - Group)	15	17	27
Life Skills Development - Level 2 (Supported Empl - Individual)	1,331	1,299	1,376
Life Skills Development - Level 3 (ADT) - Facility Based	10,414	11,246	11,574
Life Skills Development - Level 3 (ADT) - Off Site	128	126	133

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Jan-17	Feb-17	Mar-17
Occupational Therapy	454	451	490
Occupational Therapy - Assessment	3	1	1
Personal Emergency Response System - Installation	1	0	0
Personal Emergency Response System - Service	84	80	90
Personal Supports	10,504	10,540	10,850
Physical Therapy	903	887	928
Physical Therapy - Assessment	6	1	3
Private Duty Nursing	238	241	243
Residential Habilitation - Assisted Living Facility (month)	393	403	403
Residential Habilitation - Behavioral Focus (day)	55	65	52
Residential Habilitation - Intensive Behavior (day)	417	434	448
Residential Habilitation - Intensive Behavior CTEP (day)	156	153	149
Residential Habilitation - Standard (day)	261	261	287
Residential Habilitation (month)	6,851	7,286	7,297
Residential or Skilled Nursing - LPN	96	91	99
Residential or Skilled Nursing - RN	36	23	35
Respiratory Therapy	44	42	41
Respiratory Therapy - Assessment	2	0	0
Respite (under 21 only)	1,554	1,527	1,581
Respite, Skilled	9	8	8
Special Medical Home Care	19	20	19
Specialized Mental Health Assessment	4	4	5
Specialized Mental Health Counseling	159	207	190
Speech Therapy	460	435	451
Speech Therapy - Assessment	5	6	9
Support Coordination	20,965	23,782	25,116
Support Coordination - CDC Consultant	1,750	2,023	2,112
Support Coordination (Enhanced)	14	11	9
Support Coordination (Limited)	2,024	2,403	2,537
Support Coordination (Limited) - CDC	287	352	352
Supported Living Coaching	3,414	3,408	3,530
Transportation - mile	86	99	86
Transportation - month	1,118	986	1,170
Transportation - trip	6,416	6,766	7,006
Unduplicated Client Count	31,366	31,917	32,255

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid HP Data Warehouse as of May 1, 2017.

2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in January, February, and March 2017 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan and other state and local resources.

Table 2a: Client Counts of Services Provided by APD to Clients Waiting for Waiver Services as of January 1, February 1, and March 1, 2017

	Jan-17	Feb-17	Mar-17
Total Wait List at Beginning of Month*	20,476	20,536	20,561
PAID SERVICE			
ADULT DAY TRAINING	282	274	265
BEHAVIOR ANALYSIS	6	4	5
COMMUNITY BASED EMPLOYMENT	156	228	216
DENTAL SERVICES	0	0	1
ELIGIBILITY AND PLANNING	1	3	3
EMPLOYMENT ENHANCEMENT PROJECT	200	110	90
HOME ASSISTANCE	18	17	18
LONG TERM RESIDENTIAL SVS	22	23	21
MEDICAL SERVICES	1	1	2
OCCUPATIONAL THERAPY	0	0	0
PERSONAL/FAMILY CARE SVS	24	15	15
PHYSICAL THERAPY	0	0	0
PRE-SUPPORTED TRANSITIONAL LIVING	34	31	31
PSYCHOLOGICAL THERAPY	46	42	38
RECREATIONAL THERAPY	2	0	0
RESIDENTIAL HABILITATION SVS	17	16	14
RESPIRE CARE	32	33	32
SPEECH THERAPY	0	0	0
SUPPLIES/EQUIPMENT	24	22	22
SUPPORT COORDINATION	320	288	275
SUPPORTED LIVING	24	23	21
TRANSPORTATION	115	116	115
UNDUPLICATED TOTAL	1,097	1,034	980

Source: Waiting List and ABC Databases as of May 1, 2017.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of January 1, February 1, and March 1, 2017*

Row		Service Month		
		Jan-17	Feb-17	Mar-17
1	Total Waiting List at Beginning of Month*	20,476	20,536	20,561
2	Client Count for APD Non-Medicaid Services	1,097	1,034	980
3	Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	9,825	9,903	9,693
4	All Waiting List Clients Receiving Services**	10,406	11,023	10,161
5	Count of Waiting List Clients Not Receiving Services	10,070	9,513	10,400
6	Percent of Waiting List Not Receiving Services	49.18%	46.32%	50.58%

*Clients are counted only once regardless of the number of different services they received.

** Unduplicated count for the clients receiving Medicaid services or APD services or both.

***Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of May 1, 2017.

3. Waiver Enrollment in Fiscal Year 2016-17

Table 3 summarizes new waiver enrollments for FY 2016-17. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

Month	Crisis Enrolled	Waitlist Offered & Enrolled	CBC Kids Enrolled	Total Enrolled
Jan-15	31	20	1	52
Feb-15	47	14	8	69
Mar-15	53	11	4	68
Apr-15	84	0	9	93
May-15	76	0	5	81
Jun-15	55	11	4	70
Jul-15	91	16	16	123
Aug-15	71	6	8	85
Sep-15	101	11	18	130
Oct-15	105	10	16	131
Nov-15	87	35	9	131
Dec-15	57	644	7	708
Jan-16	51	20	5	76
Feb-16	50	21	4	75
Mar-16	58	21	11	90
Apr-16	74	118	4	196
May-16	90	77	16	183
Jun-16	73	69	13	155
Jul-16	65	23	8	96
Aug-16	71	183	9	263
Sep-16	68	161	11	240
Oct-16	93	114	10	217
Nov-16	69	100	15	184
Dec-16	74	89	15	178
Jan-17	70	58	5	133
Feb-17	92	42	8	142
Mar-17	87	42	6	135
Total	1,943	1,916	245	4,104

Source: ABC Database as of May 1, 2017 and other ABC tracking systems.

4. Length of Wait for Waiver Services

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do

not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services
as of May 1, 2017

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	May 1, 2016 or later	2,157	10.4
1+ to 2 Years	May 1, 2015 - April 30, 2016	1,560	7.5
2+ to 3 Years	May 1, 2014 - April 30, 2015	1,462	7.1
3+ to 4 Years	May 1, 2013 - April 30, 2014	992	4.8
4+ to 5 Years	May 1, 2012 - April 30, 2013	1,154	5.6
5+ to 6 Years	May 1, 2011 - April 30, 2012	1,206	5.8
6+ to 7 Years	May 1, 2010 - April 30, 2011	1,354	6.6
7+ to 8 Years	May 1, 2009 - April 30, 2010	1,386	6.7
8+ to 9 Years	May 1, 2008 - April 30, 2009	1,392	6.7
9+ to 10 Years	May 1, 2007 - April 30, 2008	1,437	7.0
More than 10 Years	On or before April 30, 2007	6,570	31.8
Total Wait List*		20,670	100.0

Source: Waiting List Database as of May 1, 2017.

5. Projected Waiver Costs and Appropriations

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2016-17 Waiver Budget Forecast

FY 2016-17 APD WAIVER PROJECTIONS	General Revenue	Trust Funds	Total
Blended rate adopted by the SSEC for FY 2016-17	0.3901	0.6099	
Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Corrected FMAP Adjustment			\$ -
New Appropriation	\$ 427,800,911	\$ 669,405,836	\$ 1,097,206,747
Less FY 2015-16 Projected Deficit	\$ (392,622)	\$ (600,352)	\$ (992,974)
Less FY 2016-17 Estimated Expenditures	\$ (427,408,289)	\$ (668,805,484)	\$ (1,096,213,773)
Total APD Waiver Balance FY 2016-17	\$ -	\$ -	\$ -

Per section 40, Chapter 2015-232, Laws of Florida, the unexpended balance of the HCBS Waiver category is reverted and appropriated for FY 2015-16 in the Lump Sum – Home and Community Based Services Waiver category (092003). This reversion was posted before the June 30, 2015 invoice was paid and before a certified forward payable was established. As a result, the June 2015 invoice and all subsequent invoices for FY 2014-15 were paid from FY 2015-16 current year funds.