



Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs

First Quarter Fiscal Year 2007/2008
(July, August, September 2007)

Submitted November 2007



Jane E. Johnson,
Director

Charlie Crist,
Governor

Introduction

Each month, the **Agency for Persons with Disabilities (APD)** serves more than 30,000 people across Florida with autism, mental retardation, spina bifida, cerebral palsy, Prader-Willi syndrome, and children aged 3 to 5 who are at high risk of being diagnosed with a developmental disability. The great majority of APD's services are provided through three Medicaid waivers administered by the agency.

To meet the needs of the diverse and often medically complex population it serves, APD offers a wide array of services. Some of the 33 services currently provided in the Developmental Disabilities Home and Community-based Services waiver (DD/HCBS) include: nursing care, occupational therapy, behavior analysis, medical equipment, and adult day training. Companies under contract with the agency determine the medical need for a service and authorize it prior to delivery.

From July through September 2007, about 6,000 people on the wait list received services through the Family and Supported Living waiver and more than 7,800 received some state services, which leaves about 7,400 people who did not receive any state services. The number without services is something this agency hopes to address even as it works to hold spending within the budget appropriated by the legislature. APD's recently proposed measures to further limit spending in response to declining state revenues have concentrated on greater system efficiencies that will minimize any adverse impact on those we serve.

The agency continues to work with its stakeholders to develop implementation plans for the adjustments to the waiver program mandated by the legislature in 2007. The goal of implementing the changes is to ensure the health and safety of the people the agency currently serves while making adjustments to reduce costs. Part of the mandated change entails the implementation of new waiver programs which better match levels of client need with appropriate levels of care. APD will continue to work with the Agency for Health Care Administration to seek federal approval for this new program. Implementation is anticipated for the second half of fiscal year 2007-08.

Please share with us any comments or suggestions you have regarding this report. Our Legislative Affairs Director, Lucy Mohs, may be reached at 414-0488.

Glossary of Terms Used in Report

APD-Agency for Persons with Disabilities

CDC+ Waiver-Consumer-Directed Care Plus Waiver

FSL Waiver-Family and Supported Living Waiver

DD/HCBS Waiver- Developmental Disabilities Home and Community-Based Services Waiver

IFS-Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(5), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments

Month	DD/HCBS Waiver*		FSL Waiver		Both Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients	Total Waiver Payments	Total Enrollment	Total Waiver Payments
Jul-07	25,232	\$75,414,025	6,122	\$3,848,174	31,354	\$79,262,199
Aug-07	25,213	\$75,919,834	6,132	\$3,868,308	31,345	\$79,788,143
Sep-07	25,198	\$69,271,362	6,134	\$3,455,934	31,332	\$72,727,296

*CDC+ Waiver enrollment is included.

**as of the first day of the month

Source: Allocation, Budget, and Contracts (ABC) Database as of October 22, 2007, and Medicaid FREEDOM (Florida Rapid Entry to Enhanced Data On-line for Medicaid) Database as of Nov 4, 2007

Table 1b summarizes types of services received by waiver enrollees. In addition to the DD/HCBS Waiver and the FSL Waiver, a third waiver, the Consumer-Directed Care Plus (CDC+) Waiver, is included. This waiver offers comparable services to the DD/HCBS Waiver, but it allows much greater flexibility and choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and non-waiver services to persons enrolled in a waiver. Room and Board, paid entirely from General Revenue, provides payment to residential providers for clients with identified support and income needs.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services					Client Total*
	DD/HCBS Waiver	CDC+ Waiver	FSL Waiver	IFS	Room and Board	
Jul-07	23,110	992	5,524	1,040	856	29,570
Aug-07	23,048	988	5,492	1,000	821	29,487
Sep-07	22,846	982	5,377	1,086	675	29,158

*Clients are counted only once regardless of the number of different services they received.

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims for the reported service months as of October 22, 2007.

Source: ABC Database as of October 22, 2007 and Medicaid FREEDOM Database as of November 4, 2007

1. Services Received by Waiver Enrollees (continued)

In addition to the services cited above, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services
by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jul-07	31,354	19,861	63.3%
Aug-07	31,345	19,597	62.5%
Sep-07	31,332	19,130	61.1%

Source: Medicaid FREEDOM Database as of November 4, 2007

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services
by Month of Service

Service	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Jul-07	Aug-07	Sep-07	Jul-07	Aug-07	Sep-07	Jul-07	Aug-07	Sep-07
Adult Day Training - Facility Based	9,957	9,898	9,665				855	851	828
Adult Dental Services	1,248	1,467	1,239						
Behavior Analysis Level 1	1,832	1,833	1,685				196	178	186
Behavior Analysis Level 2	861	857	749				152	133	111
Behavior Analysis Level 3	1,323	1,316	1,226				237	238	217
Behavior Assistant Services	362	384	373				26	26	22
Behavioral Analysis Services Assessment	78	94	59				15	20	19
CDC Consultant Services				902	896	838			
CDC Month Allowance				990	984	980			
Chore Services	53	47	47						
Companion	3,782	3,873	3,794						
Consumable Medical Supplies	5,591	5,484	5,274				1,220	1,204	1,165
Dietician Services	151	137	131						
Durable Medical Equipment	61	64	51				10	9	13
Environmental Accessibility Assessment	17	23	27				4	8	6
Environmental Accessibility Adaptations	43	27	30				12	13	7
Homemaker Services	689	635	573						
In - Home Supports (Awake Staff) Qtr. Hour	784	778	779				1,920	1,943	1,913
In - Home Supports (Live-In Staff) Day	1,591	1,604	1,593				2	2	1

1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service	DD/HCBS Waiver			CDC+ Waiver			FSL Waiver		
	Jul-07	Aug-07	Sep-07	Jul-07	Aug-07	Sep-07	Jul-07	Aug-07	Sep-07
Medication Review	718	412	304						
Non-Residential Support Services	4,654	4,338	3,887						
Occupational Therapy	501	493	493						
Occupational Therapy Assessment	15	19	15						
Personal Care Assistance	3,915	3,932	3,810						
Personal Emergency Response-Installation		1							
Personal Emergency Response-Service	101	102	62				3	3	2
Physical Therapy	934	945	896						
Physical Therapy - Assessment	39	42	36						
Private Duty Nursing	81	82	80						
Psychological Assessment		2							
Residential Habilitation-Day	6,823	6,757	6,487						
Residential Habilitation - Quarter Hour	99	101	97						
Residential Nursing Services	209	195	168						
Respiratory Therapy	12	12	13						
Respite Care - Day	493	461	425				63	63	58
Respite Care - Quarter Hour	1,867	1,907	1,833				756	792	754
Skilled Nursing - LPN	66	64	67						
Skilled Nursing - RN	28	25	21						
Special Medical Home Care	13	12	11						
Specialized Mental Health - Assessment	33	26	22						
Specialized Mental Health - Therapy	623	638	577						
Speech Therapy	1,101	1,098	1,032						
Speech Therapy - Assessment	33	34	18						
Support Coordination	22,163	21,990	21,003				5,339	5,225	4,960
Support Coordination - Transitional	15	13	2						
Supported Employment	2,340	2,380	2,310				307	304	282
Supported Living Coaching	3,582	3,604	3,406				197	184	185
Therapeutic Massage	774	776	751						
Therapeutic Massage - Assessment	22	18	9						
Transportation (Mile)	152	153	150				15	14	13
Transportation (Month)	1,312	1,293	1,244				121	120	118
Transportation (Trip)	7,674	7,570	7,162				534	508	461
Client Unduplicated Total	23,110	23,048	22,846	992	988	982	5,524	5,492	5,377

Note: Based on historical payment patterns, waiver services are incomplete due to anticipated unsubmitted claims.
Source: Medicaid FREEDOM Database as of November 4, 2007

2. Services Received by Persons on the Wait List

Table 2a lists non-Medicaid APD services received in July, August, and September, 2007, by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the wait list aged 21 or younger may also receive services from the Florida Department of Education and other state and local resources.

Table 2a: Client Counts of Non-Medicaid Services Provided by APD to Clients Waiting for Waiver Services as of July 1, August 1, and September 1, 2007*

	Service Month		
	Jul-07	Aug-07	Sep-07
Total Wait List at Beginning of Month*	15,207	15,453	15,648
Paid Service			
ADULT DAY TRAINING	131	117	115
BEHAVIOR ANALYSIS	5	7	4
COMMUNITY BASED EMPLOYMENT	209	238	197
DENTAL SERVICES	1	0	0
ELIGIBILITY DETERMINATION AND SUPPORT PLANNING	7	11	8
HOME ASSISTANCE	14	15	15
MEDICAL SERVICES	3	2	3
NON-RESIDENTIAL SUPPORTS AND SERVICES	20	19	19
OCCUPATIONAL THERAPY	0	0	0
PERSONAL AND FAMILY CARE SERVICES	14	19	16
PSYCHOLOGICAL THERAPY	56	70	72
RESIDENTIAL HABILITATION SERVICES	27	27	25
RESPIRE	52	60	12
SPEECH THERAPY	1	1	1
SUPPLIES AND EQUIPMENT	18	15	18
SUPPORT COORDINATION	317	319	234
SUPPORTED LIVING	46	48	48
TRANSPORTATION	127	118	105
LONG TERM RESIDENTIAL SERVICES	18	14	15

*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table. See Tables 1a, 1b, 1c and 1d for information on services used by waiver enrollees.

Table 2b provides client counts of persons on the wait list who received APD non-Medicaid services (see Table 2a) or Medicaid State Plan services. APD non-Medicaid services are provided with state General Revenue and grant dollars. Because some clients received both APD non-Medicaid and State Plan services, the client count in the third row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on wait list clients who received neither non-Medicaid nor Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of July 1, August 1, and September 1, 2007*

	Service Month		
	Jul-07	Aug-07	Sep-07
Client Count for Non-Medicaid Services**	826	869	701
Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***	7,316	7,423	7,415
All Wait List Clients Receiving Services**	7,803	7,944	7,840
Wait List Clients Not Receiving Services****			
Client Total	7,404	7,509	7,808
Percent of Wait List	50.9%	50.9%	52.0%

*FSL Waiver enrollees on the wait list for the DD/HCBS Waiver are excluded from the table.

**Clients are counted only once regardless of the number of different services they received.

***Based on historical payment patterns, Medicaid State Plan services are incomplete due to the anticipated unsubmitted claims for the reported service months as of October 22, 2007.

****Any services provided by the Department of Education or other state or local resources are not reflected in this number.

Source: Wait List Database as of July 1, August 1, and September 1, 2007; ABC Database as of October 22, 2007; and Medicaid FREEDOM Database as of November 4, 2007.

3. Waiver Enrollment Offers for Persons on the Wait List as of October 1, 2007

Tables 3a and 3b provide the number of individuals on the October 1 wait list who were offered waiver enrollment in FY 2005/06, FY 2006/07 and FY 2007/08 to date, with results of those offers indicated. More than 40 percent of the clients on the wait list as of October 1, 2007 have been previously offered waiver enrollment since June 2005. Nearly two-thirds of those receiving offers are enrolled in the FSL Waiver even as they choose to wait for enrollment in the DD/HCBS Waiver.

Table 3a: Waiver Enrollment Offers for Persons on the Wait List as of October 1, 2007

	Number	Percent
Total Wait List Count*	21,728	100.0%
Wait List Clients Offered Waiver**		
FY 2007-08 to date	43	0.2%
FY 2006/07	260	1.2%
FY 2005/06	8,371	38.5%
Total	8,674	39.9%

*In contrast to counts in Tables 2a, 2b, and 5, the wait list count in this table includes FSL Waiver enrollees waiting for enrollment in the HCBS/DD Waiver, since many of these persons received waiver offers.

**Records of waiver enrollment offers prior to June 2005 are not available.

Source: Wait List Database as of October 1, 2007 and Waiver Enrollment Tracking System as of October 22, 2007.

Table 3b: Outcomes of Waiver Enrollment Offers for Persons on the Wait List as of October 1, 2007

Disposition of Waiver Offers	Offers in FY 2005/06	Offers in FY 2006/07	Offers in FY 2007/08	Total	
				#	%
Enrolled in DD/HCBS Waiver*	0	17	7	24	0.3%
Enrolled in FSL Waiver	4,432	224	36	4,692	54.4%
Remained in FSL Waiver	988	0	0	988	11.4%
Remained on non-Medicaid Services	13	0	0	13	0.2%
Ineligible for Waiver	319	0	0	319	3.7%
Received and Declined Offer	761	0	0	761	8.8%
Offer Sent--No Response	1,575	0	0	1,575	18.2%
Other	283	19	0	302	3.5%
Total	8,371	260	43	8,674	100.0%

*These clients were enrolled or updated in the ABC Database on or after October 22, 2007.

Source: Wait List Database as of October 1, 2007 and Waiver Enrollment Tracking System as of October 22, 2007

4. Waiver Enrollment in Fiscal Year 2006-07

Table 4 summarizes new waiver enrollment to date in FY 2007-08. The counts are broken out by client category, waiver, and month of enrollment.

Brown v. Bush enrollments are placements of institutionalized persons on a waiver pursuant to the lawsuit settlement. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment. Foster kids are children on the wait list for the DD/HCBS Waiver who have open cases in the Department of Children and Families' child welfare system. Pursuant to proviso language in the General Appropriations Act of 2006, these children have been given priority enrollment over other persons on the wait list for waiver services, with the exception of crisis enrollments.

Table 4: New Waiver Enrollment by Waiver and Enrollment Type*

Month	DD/HCBS Waiver			FSL Waiver		Total Crisis Cases	Total Foster Kids	Total Enrolled
	Brown v. Bush	Crisis Cases	Foster Kids	Crisis Cases	Foster Kids			
Oct-06		30		20		50		50
Nov-06	2	48	77	21	68	69	145	216
Dec-06		52	53	24	66	76	119	195
Jan-07	10	29	16	7	22	36	38	84
Feb-07	4	12	2	10	1	22	3	29
Mar-07	4	15		13	3	28	3	35
Apr-07	6	18		6		24		30
May-07	14	52	1	35		87	1	102
Jun-07	12	30	1	16		46	1	59
Jul-07		29		17		46		46
Aug-07		22		21		43		43
Sep-07		15		14		29		29
Total	52	352	150	204	160	556	310	918

*Includes only new enrollment funded from specific FY 2006/07 appropriations.

Source: ABC Database as of October 22, 2007 and other APD tracking systems

5. Length of Wait for Waiver Services

Table 5 gives a frequency distribution of the length of time individuals have been waiting for waiver services. Currently, the agency policy allows people to remain on the wait list if they refuse services, don't qualify for services, or do not respond to enrollment offers. The list is never purged so some people will always remain on the wait list. These counts include those who may have refused one or more earlier waiver enrollment offers and those who have received other state assistance. Persons enrolled in the Family and Supported Living Waiver are not included in the table.

Table 5: Length of Wait for Any Waiver Services
as of October 1, 2007

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
Less than 6 Months	Later than March 31, 2007	1,398	8.9%
6 to 12 Months	October 1, 2006 - March 31, 2007	1,637	10.4%
12+ to 18 Months	April 1, 2006 - September 30, 2006	1,581	10.0%
18+ to 24 Months	October 1, 2005 - March 31, 2006	1,414	9.0%
24+ to 30 Months	April 1, 2005 - September 30, 2005	1,214	7.7%
30+ to 36 Months	October 1, 2004 - March 31, 2005	1,102	7.0%
36+ to 42 Months	April 1, 2004 - September 30, 2004	1,252	7.9%
42+ to 48 Months	October 1, 2003 - March 31, 2004	1,418	9.0%
4+ to 5 Years	October 1, 2002 - September 30, 2003	2,187	13.9%
More than 5 Years	Earlier than October 1, 2002	2,554	16.2%
Total Wait List*		15,757	100.0%

*Excluding Family and Supported Living Waiver enrollees.

Source: Wait List Database as of October 1, 2007

6. Projected Waiver Costs and Appropriations

Table 6 provides information concerning projected waiver costs compared to the available appropriations, and any projected surpluses or deficits.

Table 6: Fiscal Year 2007-08 Waiver Budget Forecast

	DD/HCBS Waiver*	FSL Waiver	Total
Appropriations for FY 2007-08			
State Funds	\$382,226,395	\$32,126,817	\$414,353,212
Federal Funds	\$504,815,601	\$42,430,661	\$547,246,262
Total	\$887,041,996	\$74,557,478	\$961,599,474
Adjust for impact of Special Session C			
State Funds	(\$1,693,628)	(\$180,480)	(\$1,874,108)
Federal Funds	(\$2,236,816)	(\$238,364)	(\$2,475,180)
Total	(\$3,930,444)	(\$418,844)	(\$4,349,288)
Available Appropriations			
State Funds	\$380,532,767	\$31,946,337	\$412,479,104
Federal Funds	\$502,578,785	\$42,192,297	\$544,771,082
Total	\$883,111,552	\$74,138,634	\$957,250,186
Projected Expenditures Including Effect of Policy Changes from SB 1124			
State Funds	\$399,495,811	\$21,280,381	\$420,776,192
Federal Funds	\$527,623,730	\$28,105,511	\$555,729,241
Total	\$927,119,541	\$49,385,892	\$976,505,433
Projected Surplus/Deficit			
State Funds	(\$18,963,045)	\$10,665,956	(\$8,297,089)
Federal Funds	(\$25,044,945)	\$14,086,786	(\$10,958,159)
Total	(\$44,007,990)	\$24,752,742	(\$19,255,248)

*Budget and expenditures for the CDC+ Waiver are included.

**The GAA has been corrected to reflect the FMAP reduction effective October 1, 2007.